

NEW GRANT OR COLLABORATION ARE YOU APPLYING FOR A NEW GRANT OR STARTING A NEW COLLABORATION AT PENN?

Stop and think about Intellectual Property (IP)/ownership first:

STEP 1: Determine if an invention could be developed or IP could be created?

- Get educated: Read over the Penn Center for Innovation (PCI) resources:
 - Inventor Portal Document
 - Penn Waiver (if applicable)

STEP 2: If you are part of a team:

- Meet with everyone on the team
- Discuss what claims you each have as team members, what you each plan to bring to the project, and whose ideas are whose
- Write it all down clearly and be specific
- Have everyone sign and date it

STEP 3: If the grant is funded, the collaboration moves forward and/or IP is created, decide as a team on your next steps:

- Submit an Invention Disclosure to Penn
- Talk with Melissa Kelly from PCI:
 - FIDES/ PHS-FITS
 - Disclosures related to research publication/presentations/other

STEP 4: Consider if you should commercialize the invention?

- Get educated, read resources:
 - PCI Commercialization Guide
 - Academic Entrepreneurship chapter on Intellectual Property by Cynthia Dahl, Esq.
- Discuss with PCI and you team (if applicable)
 - License to an existing company
 - Create a start up

STEP 5: Talk with Melissa Kelly from PCI

Questions to consider:

- What do I need to report, to whom, and where?
 - FIDES/PHS-FITS
 - IRB
- How will the Conflict of Interest (COI) affect my research/grants/committee work etc?
- When and how should I disclose IP for manuscripts, presentations, conferences etc.?

RESOURCES

FIDES: Financial Interest Disclosure Electronic System
<https://fides.isc-seo.upenn.edu/>

PHS-FITS: Public Health Service Financial Interests and Travel Statement
<https://phsfits.apps.upenn.edu>

Penn Center for Innovation Commercialization Guide:
<https://www.pci.upenn.edu/commercialization-guide/>

Penn Inventor Portal:
<http://www.pci.upenn.edu/invention-disclosure/>

Penn Patent Policy:
<http://pci.upenn.edu/wp-content/uploads/2017/12/Patent-Policy-Most-Recent.pdf>

PCI CONTACT:

Melissa Kelly PhD
Associate Director (Nursing, Dental, Veterinary)
215.898-9877
kellymel@upenn.edu

EXISTING GRANT OR COLLABORATION

ARE YOU ALREADY GRANT FUNDED OR WORKING ON A CROSS-DISCIPLINARY COLLABORATION AT PENN?

Stop and think about Intellectual Property (IP) and ownership now:

STEP 1: If you are part of a team meet with everyone on the team to determine if an invention has been developed:

- Discuss what has been created:
 - 1) What problem does it solve?
 - 2) Whose money was used to create it?
 - 3) What steps do I need to take to protect it?
- Discuss what claims you each have as team members:
 - What have you each brought to the project
 - Whose ideas are whose
- Write it all down clearly and be specific
- Have everyone sign and date it

STEP 2: Get educated:

- Read over the Penn Center for Innovation (PCI) resources:
 - Inventor Portal Document
 - Penn Waiver (if applicable)

STEP 3: Submit an Invention Disclosure to Penn

- Talk with Melissa Kelly from PCI:
 - FIDES/ PHS-FITS
 - Disclosures related to research publication/presentations/other

STEP 4: Consider if you should commercialize the invention?

- Get educated, read resources:
 - PCI Commercialization Guide
 - Academic Entrepreneurship chapter on Intellectual Property by Cynthia Dahl, Esq.
- Discuss with PCI and you team (if applicable)
 - License to an existing company
 - Create a start up

STEP 5: Talk with Melissa Kelly from PCI

Questions to consider:

- What do I need to report, to whom, and where?
 - FIDES/PHS-FITS
 - IRB
- How will the Conflict of Interest (COI) affect my research/grants/committee work etc?

RESOURCES

FIDES: Financial Interest Disclosure Electronic System
<https://fides.isc-seo.upenn.edu/>

PHS-FITS: Public Health Service Financial Interests and Travel Statement
<https://phsfits.apps.upenn.edu>

Penn Center for Innovation Commercialization Guide:
<https://www.pci.upenn.edu/commercialization-guide/>

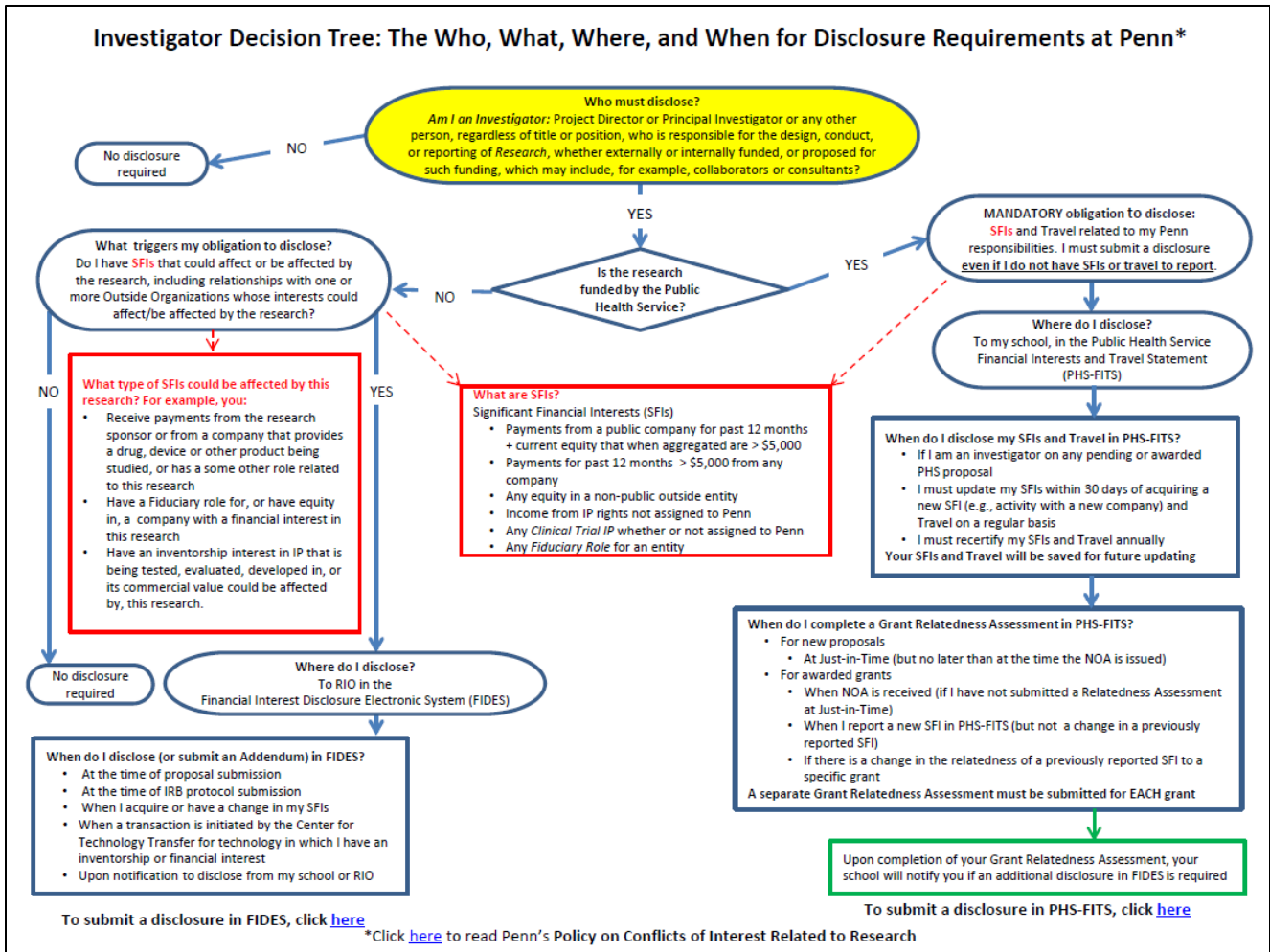
Penn Inventor Portal:
<http://www.pci.upenn.edu/invention-disclosure/>

Penn Patent Policy:
<http://pci.upenn.edu/wp-content/uploads/2017/12/Patent-Policy-Most-Recent.pdf>

PCI CONTACT:

Melissa Kelly PhD
Associate Director (Nursing, Dental, Veterinary)
215.898-9877
kellymel@upenn.edu

DISCLOSURE FLOWCHART



This flowchart can be found on the Financial Conflicts of Interest in Research Program page: <https://research.upenn.edu/compliance-and-training/fcoi/>